

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.

P-576

03C0

In Re Application Of: HUBER et al

JUL 23 2001

Serial No. 09770410

Filing Date  
01/25/2001

Examiner  
N/A

Group Art Unit  
N/A

Invention: METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC  
CAPILLARY COLUMNS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
03/22/2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
- ☒ is/are attached.
- ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.

☒ Other (list):

A SUBSTITUTE SPECIFICATION IN COMPLIANCE WITH 37 CFR 1.52

07/25/2001 CHAU1 00000076 500821 09770410

02 FC:216 195.00 CH

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
P-576

In Re Application Of: HUBER et al

Serial No.  
09/770,410

Filing Date  
01/25/2001

Examiner  
N/A

Group Art Unit  
N/A

Invention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC  
CAPILLARY COLUMNS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: 03/22/2001 until: 07/22/2001  
Date Date

Total time extension fees **\$195.00**

Total fees due **\$260.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
P-576

In Re Application Of: HUBER et al

Serial No.  
09/770,410

Filing Date  
01/25/2001

Examiner  
N/A

Group Art Unit  
N/A

Invention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC  
CAPILLARY COLUMNS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

09/770,410-01/25/2001

The fee of **\$260.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0821**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0821**  
A duplicate copy of this sheet is enclosed.

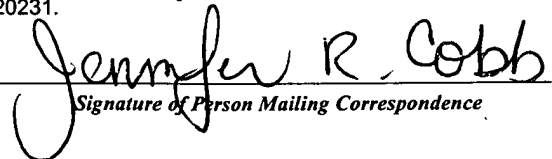


Signature

Dated: 7/19/2001

**WILLIAM B. WALKER  
REGISTRATION NUMBER: 22,498  
TRANSGENOMIC, INC.  
2032 CONCOURSE DRIVE  
SAN JOSE, CA 95131**

I certify that this document and fee is being deposited on **7-19-2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**JENNIFER R. COBB**

Typed or Printed Name of Person Mailing Correspondence

CC:

#6



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,410	01/25/2001	Christian Huber	P-576

CONFIRMATION NO. 6186

## FORMALITIES LETTER



\*OC000000005891040\*

TRANSGENOMIC, INC.  
2032 CONCOURSE DRIVE  
SAN JOSE, CA 95131

Date Mailed: 03/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

09770410-0140460

07/25/2001 CCH/11 00000076 500821 09770410

01 FC:205 65.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09770410-072301

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **HUBER et al**

Docket No.

**P-576**

Serial No.

**09/770,416 2 3 2001**

Filing Date

**01/25/2001**

Examiner

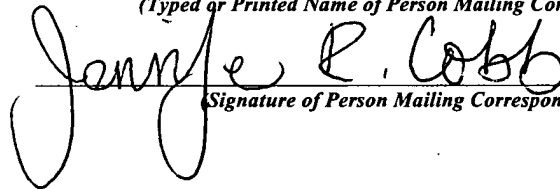
**N/A**

Group Art Unit

**N/A**Invention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS****JUL 23 2001**I hereby certify that this **SPECIFICATION HAVING 54 PAGES***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

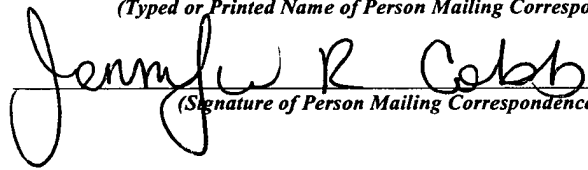
**7-19-2001***(Date)***JENNIFER R. COBB***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

#5

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>		Docket No. P-576	
Applicant(s): HUBER et al			
Serial No. JUL 23 2001 09/770,419	Filing Date 01/25/2001	Examiner N/A	Group Art Unit N/A

Invention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS**

I hereby certify that this NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
*(Identify type of correspondence)*  
is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Assistant Commissioner for Patents, Washington, D.C. 20231 on 7-19-2007  
*(Date)*

JENNIFER R. COBB  
*(Typed or Printed Name of Person Mailing Correspondence)*  
  
*(Signature of Person Mailing Correspondence)*

**Note: Each paper must have its own certificate of mailing.**

45

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): **HUBER et al.**

Docket No.

P-576

Serial No. **09/770,410**

Filing Date  
**01/25/2001**

Examiner  
**N/A**

Group Art Unit  
**N/A**

Invention: **METHOD AND APPARATUS FOR SEPARATING POLYNUCLEOTIDES USING MONOLITHIC CAPILLARY COLUMNS**

I hereby certify that this **DECLARATION AND POWER OF ATTORNEY FORM**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**7-19-2001**

*(Date)*

**JENNIFER R. COBB**

*(Typed or Printed Name of Person Mailing Correspondence)*

*Jennifer R Cobb*

*(Signature of Person Mailing Correspondence)*

**Note: Each paper must have its own certificate of mailing.**